STROOCK

FACSIMILE

DATE

June 6, 2003

TO	COMPANY NAME	FAX NO.	PHONE NO.
Examiner C. Kyle	Group Art Unit 3624 United States Patent and Trademark Office	703-872-9326	703–305–4458
TOTAL NO. OF PAGES	27		· .
FROM	Ian G. DiBernardo		
SENDER'S FAX NUMBER	212-806-6006		
SENDER'S PHONE NO.	212-806-5867		
SENDER'S EMAIL	idibernardo@stroock.com		FAX RECEIVED
ROOM NO.	38L		TO THE OLIVED
ATTORNEY NO.	3350		JUN 0 9 2003
CLIENT/MATTER NAME	Liquidnet Holdings, Inc.	Official	
CLIENT/MATTER NO.	363779/0002	Official	GROUP 3600

MESSAGE

Applicants:

Seth I. Merrin et al.

Application No.:

09/834,171

Filed:

April 12, 2001

Group Art Unit:

3624

For: ELECTRONIC SECURITIES MARKETPLACE HAVING INTERGRATION WITH ORDER

MANAGEMENT SYSTEMS.

CONFIDENTIAL

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual named above and others who have been specially authorized to receive such. If the recipient is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with this transmission, please notify us by telephone at 212 806-6102.

STROOCK & STROOCK & LAVAN LIP - NEW YORK - LOS ANCELES - MIAMI 180 MAIDEN LANE, NEW YORK, NY 10038-4982 TEL 212.806.5400 FAX 212.806.6006 WWW.STROOCK.COM Docket No. 363779/0002 CED:IGD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Seth I. Merrin, et al.

Group Art Unit: 3624

Application No.: 09/834,171

Examiner: Kyle, Charles R.

Filed: April 12, 2001

For:

ELECTRONIC SECURITIES MARKETPLACE HAVING INTEGRATION WITH ORDER MANAGEMENT SYSTEMS

Date: June 6, 2003

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 C.F.R. § 1.8)

Mail Stop Non-Fee Amendment Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

Three-MonthPetition for Extension of Time; including authorization to charge deposit account (1 pg./in duplicate)

Amendment (19 pgs.)

Executed Declaration and Power of Attorney (4 pgs.)

is being transmitted by facsimile to the United States Patent and Trademark Office in accordance with 37 C.F.R. § 1.8 on the following date:

Ian G. DiBernardo

(Typed Or Printed Name Of Person Signing this Certificate)

(Signature)

SSL-DOC\$1 1345587 v1